

**Republic of Albania/Albanian Road Authority**

**Building Resilient Bridges Project Program**

**P174595**

**Draft**

**ENVIRONMENTAL and SOCIAL  
COMMITMENT PLAN (ESCP)**

**for negotiation**

**April 30, 2022**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Albania (hereinafter the “Borrower”) shall implement the Building Resilient Bridges Project (the Project), with the oversight from Ministry of Infrastructure and Energy and implementation by Albanian Road Authority (ARA), as set out in the Loan Agreement. The International Bank for Reconstruction and Development (hereinafter the Bank) has agreed to provide financing for the Project as set out in the referred agreement.
2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Loan Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.
4. As agreed by the Bank and the Borrower, this ESCP shall be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Borrower through the Albanian Road Authority and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Borrower [name position of designated official, e.g. minister, director] of [name of the Project Implementing Entity, ministry or agency]. The Borrower shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism.</p>	<p>Submit bi-annual reports to the Bank throughout the Project implementation, commencing after the Effective Date. Submit each report to the Bank no later than 30 days after the end of each reporting period.</p>	<p>ARA/Project Management Team (PMT)</p>
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Bank’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Bank no later than 48 hours after learning of the incident or accident.</p> <p>If requested, provide subsequent incident/accident investigation report to the Bank within 30 days from the time the incident/accident was reported, unless another timeframe is agreed with the Bank.</p>	<p>ARA/PMT and Contractors</p>
C	<p><b>CONTRACTORS’ MONTHLY REPORTS</b></p> <p>Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the Bank.</p>	<p>Submit the monthly reports to the Bank as annexes to the reports to be submitted under action A above.</p>	<p>ARA/PMT Contractors</p>
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>ARA have in house Environmental and Social sector with experienced staff who will support the project, and the existing PMT set up that was put in place for the implementation of an ongoing Result Based Maintenance and Road Safety Project (RBMSP) P132982 financed by the World Bank (fiduciary, procurement, finance and M&amp;E), will be maintained and additional qualified staff and resources to support management of ESHS risks and impacts of the Project will be hired.</p> <p>Supplement capacity of PMT by hiring one Environmental Expert and one Social Expert on a full-time basis and a dedicated OHS Specialist either as regular full-time or as a part-time staff or consultant, all with terms of reference, experience, and qualifications acceptable to the Bank.</p>	<p>Maintain a PMT throughout the Project implementation.</p> <p>Hire one Environmental Expert and one Social Expert before the project effectiveness, and thereafter maintain these positions throughout the Project implementation.</p>	<p>ARA</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
	<p>Hire an OHS Specialist either as regular staff or as a consultant prior to commencement of civil works and maintain the position throughout the Project implementation.</p>	
<p>1.2 <b>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</b>                      Finalize, adopt, and thereafter implement an Environmental and Social Impact Assessment (ESIA) and Environmental and Social Management Plan (ESMP) for reconstruction of two priority bridges (Beshiri Bridge and Viroi Bridge) consistent with the relevant ESSs. Finalize, adopt, and thereafter implement an abbreviated Resettlement Action Plan (aRAP) for Beshiri Bridge.</p> <p>Finalize and adopt Environmental and Social Management Framework (ESMF) and Resettlement Policy Framework (RPF) for the Project, consistent with the relevant ESSs, for the bridges that will be identified during the Project implementation.</p> <p>For the other bridges to be identified during the project implementation prepare, consult and disclose proportional to the sub-projects risks, site-specific E&amp;S instruments, ESMP Checklists for typical rehabilitation activities, the ESMP for reconstruction while full-fledged or partial ESIA for works that will required significant upgrades/replacements of Bridges. . The proposed activities described in the exclusion list set out in the ESMF shall be ineligible to receive financing under the Project.</p>	<p>Draft ESIA, ESMP, and aRAP consult and disclose before appraisal and finalize and adopt ESIA, ESMP, and aRAP within 30 days after the Effective Date. Implement the ESIA, ESMP throughout subproject implementation, and aRAP before the commencement of works.</p> <p>Prepare, consult and disclose draft ESMF and RPF before the appraisal and Finalize and adopt ESMF and RPF within 30 days after the Effective Date, and thereafter implement throughout the Project implementation.</p> <p>Prepare site-specific E&amp;S instruments for subprojects identified during the Project implementation prior to tendering of works for the respective subprojects and include ESHS provisions in bidding and procurement documents. Once adopted, implement the respective E&amp;S instrument throughout Project implementation.</p>	<p>ARA/PMT</p>
<p>1.3 <b>MANAGEMENT OF CONTRACTORS</b>                      Incorporate relevant aspects of the ESCP, including, inter alia, relevant E&amp;S instruments, Labor Management Procedures (LMP), and Code of Conduct, into the ESHS specifications of procurement documents and contracts with works Contractors and supervising firms. Thereafter, ensure that Contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	<p>Incorporate relevant aspects into procurement documents and contracts during their preparation. Supervise contractors throughout Project implementation.</p>	<p>ARA/PMT Contractors</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>Require Contractors to prepare Contractor’s ESMP (C-ESMP), including Occupational Health and Safety (OHS) Plan, Waste Management Plan (WMP), Traffic Management Plan, Community Health and Safety Plan and other site-specific instruments as needed, to be submitted to and reviewed by the supervision engineer and approved by the PMT, all consistent with ESSs and in a manner acceptable to the Bank.</p> <p>Where needed, supervision and monitoring of contracted works, i) promptly appoint a third- party monitor consultant, with terms of reference, qualifications and experience acceptable to the Bank; (ii) require the third-party monitor consultant to prepare and submit monitoring reports, which shall be promptly made available to and discussed with the Bank; and (iii) promptly take any actions, as may be requested by the Bank upon its review of the third-party monitor consultant reports.”</p>	<p>Require Contractors to prepare C-ESMP prior to commencement of works for which the C-ESMP is required and update C-ESMP periodically, as required to the satisfaction of supervision engineer and PMT throughout contract implementation.</p> <p>PMT before the commencement of the works</p>	
<p>1.4 <b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that the consultancies, studies (including feasibility studies), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Bank, that are consistent with the relevant ESSs. Thereafter, ensure that the outputs of such activities comply with the terms of reference.</p>	<p>Throughout the Project implementation.</p>	<p>ARA/PMT</p>
<p>1.5 <b>CONTINGENT [EMERGENCY] [EARLY] RESPONSE FINANCING</b></p> <p>a) Ensure that the CERC component will be as annex in the Project Operations Manual (POM), specifying the implementation arrangements for the component, including its activation process, roles and responsibilities of implementing agencies, positive list of activities that may be financed, environmental and social aspects, and fiduciary arrangements.</p> <p>b) Prepare and adopt any environmental and social (E&amp;S) instruments which may be required for activities under CERC component 4, of the Project, in accordance with the CERC annex in POM and the ESSs, and thereafter implement the measures and actions required under said E&amp;S instruments, within the timeframes specified in said E&amp;S instruments.</p>	<p>a) The adoption of the POM in form and substance acceptable to the Bank is a withdrawal condition under Section [XX] of Schedule 2 of the Loan Agreement for the Project.</p> <p>b) Adopt any required E&amp;S instrument and include it as part of the respective bidding process, if applicable, and in any case, before the carrying out of the relevant Project activities for which the E&amp;S instrument is required. Implement the E&amp;S instruments in accordance with their terms, throughout Project implementation.</p>	<p>ARA/PMT</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p><b>EXCLUSIONS:</b> Exclude the activities listed below as ineligible for financing under the Project:</p> <ul style="list-style-type: none"> <li>• Involve the significant conversion, clearance or degradation of critical natural habitats, forests, environmentally sensitive areas, significant biodiversity and/or protected conservation zones;</li> <li>• will cause, or have the potential to result in, permanent and/or significant damage to nonreplicable cultural property, irreplaceable cultural relics, historical buildings and/or archaeological sites;</li> <li>• will negatively affect rare or endangered species;</li> <li>• will lead to land clearance and leveling in areas that are not affected by the project.</li> <li>• will result in involuntary resettlement and land acquisition; or significant physical displacement of affected communities (i.e. more than 100 persons);</li> <li>• involve the use of forced labor, child labor, or other harmful or exploitative forms of labor activities related to the repatriation of refugees and the disruption of internally displaced populations</li> <li>• do not meet minimum design standards with poor design or construction quality, particularly if located in sensitive areas.</li> <li>• Require or involve: <ul style="list-style-type: none"> <li>o purchase, application or storage of pesticides or hazardous materials</li> <li>o Use of asbestos-based construction materials for reconstruction works</li> <li>o land reclamation.</li> <li>o land that has disputed ownership, tenure, or user rights.</li> </ul> </li> </ul>	<p>These exclusions shall be applied as part of the E&amp;S screening process conducted under Action 1.2 above.</p>	<p>ARA/PMT</p>
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b> Adopt and implement LMP for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), Code of Conduct (including provisions on SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p>	<p>Prepare, consult and disclose draft LMP before the appraisal and finalize the LMP and adopt it within 30 days after the Effective Date, and thereafter, implement LMP throughout the Project implementation.</p>	<p>ARA/PMT</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>Establish and operate a grievance mechanism for Project workers as described in LMP and consistent with ESS2.</p>	Establish a grievance mechanism for Project workers prior to engaging Project workers and thereafter, maintain and operate it throughout the Project implementation.	ARA/PMT
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<p><b>WASTE MANAGEMENT PLAN</b></p> <p>Require Contractors to prepare, adopt and implement a Waste Management Plan (WMP), as part of C-ESMP, to manage hazardous and non-hazardous wastes consistent with ESS3.</p>	Require Contractors to prepare WMP as part of C-ESMPs before the commencement of the works for which a WMP is required and implement WMP throughout the implementation of the activities for which a WMP is required.	ARA/PMT Contractors
3.2	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b></p> <p>Incorporate resource efficiency and pollution prevention and management measures in the C-ESMPs to be prepared under action 1.3 above.</p> <p>Require Contractors to incorporate resource efficiency and pollution prevention and management measures into C-ESMP to be prepared under action 1.3 above.</p>	C-ESMP, to be prepared before the commencement of the works for which C-ESCP is required	ARA/PMT Contractors
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<p><b>TRAFFIC AND ROAD SAFETY</b></p> <p>Incorporate measures to manage traffic and road safety risks in the ESMPs to be prepared under action 1.2 and in the C-ESMPs to be prepared under action 1.3 above for the traffic management.</p>	<p>Incorporate during preparation of ESMPs and C-ESMPs.</p> <p>Implement throughout the subproject implementation.</p>	ARA/PMT Contractors
4.2	<p><b>COMMUNITY HEALTH AND SAFETY</b></p> <p>Assess and manage specific community health and safety risks and impacts arising from Project activities (including interruption of the passage over the bridge because of the rehabilitation activities, noise, dust, and disturbance of local communities, etc.) in accordance with the Project ESMF and consistent with ESS4.</p> <p>Incorporate measures to manage community health and safety risks in the ESMPs to be prepared under action 1.2 and in the C-ESMPs to be prepared under action 1.3 above.</p>	<p>Assess risks prior to any subproject implementation.</p> <p>Mitigate risks throughout subproject implementation.</p>	ARA/PMT Contractors

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
4.3	<p><b>SEA AND SH RISKS</b></p> <p>Address SEA/SH risks by the application of mitigation measures and actions as per the ESMPs to be prepared in accordance with the ESMF and consistent with ESS4. Ensure that the Project GRM is able to respond also to SEA/SH related complaints as further outlined in 10.2 below. In addition, extra measures shall be taken with the contractors and their subcontractors by requiring that their employees are asked to sign code of conducts related to SEA/SH.</p>	<p>The Project GRM to be able to receive and follow SH/SEA based of complaints.</p> <p>Maintain the GRM throughout the Project implementation.</p>	ARA/PMT
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	<p><b>RESETTLEMENT POLICY FRAMEWORK</b></p> <p>Finalize, disclose, consult upon and adopt, and implement RPF for the Project, consistent with ESS5.</p>	<p>Prepare, consult and disclose draft RPF before the appraisal and finalize and adopt RPF within 30 days after the Effective Date, and thereafter, implement the RPF throughout the Project implementation.</p>	ARA/PMT
5.2	<p><b>RESETTLEMENT PLANS</b></p> <p>Finalize and implement aRAP for works on Beshiri Bridge consistent with ESS5.</p> <p>Prepare, disclose, consult with stakeholders, adopt, and implement Resettlement Action Plans (RAP) for each Project activity as required by RPF and consistent with ESS5.</p>	<p>Finalize subproject-specific aRAP for Beshiri Bridge within 30 days after the Effective Date and implement it before commencement of this subproject implementation, including provision of full compensation, relocation of the affected businesses, and provision of moving allowances prior to taking possession of the land and assets.</p> <p>Prepare, disclose, consult with stakeholders, adopt, and implement subproject-specific RAPs, as required, prior to commencing implementation of each respective subproject.</p>	ARA/PMT
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<p><b>BIODIVERSITY RISKS AND IMPACTS</b></p> <p>Finalize and adopt biodiversity risk screening procedure as part of ESMF and apply risk mitigation measures as part of ESMP/C-ESMP, including requiring the preparation of a Biodiversity Management Plan for any subprojects located in or near natural habitat, as specified in action 1.2 and 1.3 above and consistent with ESS6.</p>	<p>Finalize and adopt screening procedures as part of ESMF within 30 days after the Effective Date.</p> <p>Develop and adopt mitigation measures as part of ESMP prior to tendering of works and as part of C-ESMP prior to</p>	ARA/PMT



MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
		<p>commencement of works under the relevant subprojects.</p> <p>Implement screening procedures and mitigation measures throughout the Project implementation.</p>	
<p><b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b> – This standard is not relevant, as there are no Indigenous Peoples, as defined by ESS 7, in the Project area.</p>			
<p><b>ESS 8: CULTURAL HERITAGE</b></p>			
8.1	<p><b>CULTURAL HERITAGE RISKS AND IMPACTS</b></p> <p>Finalize, adopt, and implement screening procedures to mitigate risks and negative impacts on cultural heritage resulting from the proposed works as part of ESMF, and apply mitigation measures as part of ESMP/C-ESMP as specified in action 1.2 and 1.3 above and consistent with ESS8.</p> <p>Stand-alone CHMP will be prepared for subprojects which might have impacts on cultural heritage.</p>	<p>Finalize and adopt screening procedures as part of ESMF within 30 days after Effective Date.</p> <p>Develop and adopt mitigation measures as part of ESMP prior to tendering of works and as part of C-ESMP prior to commencement of works under the relevant subprojects.</p> <p>Stand -alone CHMP will be prepared before starting any works on subprojects that might have impacts on cultural heritage</p> <p>Implement screening procedures and mitigation measures throughout the Project implementation.</p>	ARA/PMT
8.2	<p><b>CHANCE FINDS</b></p> <p>Finalize, adopt, and implement chance find procedures as part of the ESMF as specified in 1.2 and consistent with ESS8.</p>	<p>Finalize and adopt procedures as part of ESMF within 30 days after the Effective Date.</p> <p>Implement procedures throughout the Project implementation</p>	ARA/PMT
<p><b>ESS 9: FINANCIAL INTERMEDIARIES</b> - This standard is not relevant as the Project will not involve Financial Intermediaries</p>			
<p><b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b></p>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b></p> <p>Finalize, adopt, and implement SEP for the Project, consistent with ESS10, including measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation.</p>	<p>Prepare, consult and disclose draft SEP before the appraisal and finalize and adopt SEP within 30 days after the Effective Date and thereafter, implement and update as necessary the SEP throughout the Project implementation.</p>	ARA/PMT
10.2	<p><b>PROJECT GRIEVANCE MECHANISM</b></p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. As applicable, this should include anonymous/confidential channels. Submit quarterly progress reports on complaints and grievances received, types of complaints, number of resolved grievances, and time it took to resolve them.</p> <p>Equip grievance mechanism to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	<p>Establish grievance mechanism within 30 days after the Effective Date and thereafter, maintain and operate the mechanism throughout Project implementation.</p> <p>Ensure the grievance mechanism is disseminated in project locations prior to commencement of any civil works.</p>	ARA/PMT
<b>CAPACITY SUPPORT</b>			
CS1	<p>Training of the PMT + Contractors' staff on:</p> <ul style="list-style-type: none"> <li>• stakeholder mapping and engagement</li> <li>• specific aspects of environmental and social assessment</li> <li>• emergency preparedness and response</li> <li>• community health and safety.</li> <li>• World Bank ESF</li> <li>• Environmental and social screening procedures and implementation of ESMF</li> <li>• Preparation and implementation of ESIA and ESMP</li> <li>• Environmental and social management of construction impacts</li> <li>• Implementation of LMP</li> <li>• OHS management in construction</li> <li>• SEA/SH prevention training</li> <li>• COVID 19 mitigation</li> </ul>	<p>Throughout of the Project implementation, annually. First training to be organized within one year after the Effective Date.</p>	ARA/PMT